NDA SECURITIES LIMITED



Member : National Stock Exchange of India Ltd.

: Bombay Stock Exchange Ltd.

: National Securities Depository Ltd.

Registered & Corporate Office : E-157, 2nd Floor, Kalkaji, New Delhi-110019 Phone : 011-46204000 (100 lines) Email : info@ndaindia.com, website : www.ndaindia.com CIN : L74899DL1992PLC050366

 Ref.: SEBI/HO/MIRSD/ DOP/P/CIR/2021/676 dated December 02, 2021
 Date: 08.03.2023

 Format for Investor Compliant Data to be displayed by Stock Brokers on their respective Websites
 Date: 08.03.2023

Data for Every Month Ending – February, 2023

| S.No. | Received From | Carried Forward from Previous Month | Received during the month | Total Pending | Resolved | Pending at the end of the month | | Average Resolution on time (in days) |
|-------|----------------------------|---|------------------------------------|------------------|----------|---|---|---|
| | | | | | | Pending for less than 3 Months | Pending for more than 3 Months | |
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2. | SEBI (SCOREs) | 0 | 0 | 0 | 0 | 0 | | 15 |
| 3. | Stock Exchange | 0 | 0 | 0 | 0 | 0 | | 15 |
| 4. | Other Sources | 0 | 0 | 0 | 0 | 0 | | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | | 15 |

Trend of monthly Disposal of Complaints

| S.No. | Month | Carried Forward from Previous Month | Received | Resolved | Pending |
|-------|------------|---|----------|----------|---------|
| 1. | April-2022 | 0 | 0 | 0 | 0 |
| 2. | May-2022 | 0 | 0 | 0 | 0 |
| 3. | June-2022 | 0 | 0 | 0 | 0 |
| 4. | July-2022 | 0 | 2 | 2 | 0 |
| 5. | Aug-2022 | 0 | 0 | 0 | 0 |
| 6. | Sept-2022 | 0 | 0 | 0 | 0 |
| 7. | Oct-2022 | 0 | 0 | 0 | 0 |
| 8. | Nov-2022 | 0 | 0 | 0 | 0 |
| 9. | Dec- 2022 | 0 | 0 | 0 | 0 |
| 10. | Jan-2023 | 0 | 0 | 0 | 0 |

Trend of Annual Disposal of Complaints

| S.No. | Month | Carried Forward | Received | Resolved | Pending | | |
|-------|---------|-----------------|----------|----------|---------|--|--|
| | | from Previous | | | | | |
| | | Month | | | | | |
| 1 | 2017-18 | 0 | 0 | 0 | 0 | | |
| 2 | 2018-19 | 0 | 0 | 0 | 0 | | |
| 3 | 2019-20 | 0 | 0 | 0 | 0 | | |
| 4 | 2020-21 | 0 | 0 | 0 | 0 | | |
| 5 | 2021-22 | 0 | 0 | 0 | 0 | | |

